

PFT satellite algorithm intercomparison + validation plan

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International Ocean Colour Science
Meeting 2013

Advancing Global
Ocean Colour
Observations



Acknowledgements

Algorithm developers for PFT data processing

IOCCG for project endorsement

JAXA for PFT workshop support under Global Change Observation Mission (GCOM-C)
(1st WS@Sapporo 2011, 2nd WS@Glasgow 2012)

NASA for SeaWiFS data (2003-2007) used in our analysis

ESA for SCHYMACHY data (2003-2007) used in our analysis

Many others for supporting PFT intercomparison activities

Comparing Satellite-Based Phytoplankton Classification Methods

Satellite Phytoplankton Functional Type Algorithm Intercomparison Workshop; Sapporo, Japan, 22-23 November 2011

1st Intercomparison WS, Sapporo, 2011

2nd Intercomparison WS, Glasgow 2012

To obtain a community consensus as to spatial and temporal variability of PFTs as observed by satellite

→ To deliver our knowledge derived by ocean colour science to other disciplines

→ Not to reveal which algorithm is good or bad!

| Working Group | Leaders |
|---------------------------|---------------------------------------|
| User Guide | N. Hardman-Mountford, C. Mouw |
| In situ Data | L. Clementson, R. Barlow, T. Hirawake |
| Algorithm Intercomparison | T. Hirata, T. Kostadinov |
| Algorithm Validation | R.J.W. Brewin, A. Bracher |

Algorithms used for intercomparison

| Algorithm providers | References | Approach | PFTs retrieved | Satellite data |
|------------------------------------|--|----------------------|---|-------------------------------------|
| Alvain et al. (CNRS) | Alvain et al., DSR, 2005, Alvain et al., GBC, 2008 | Optical (nLw) | Diatoms, Nanoeucaryotes, Prochlorococcus sp., Cyanobacteria, Phaeocystis-like, coccolithophores | SeaWiFS R2010 |
| Bracher et al. (U. Bremen/ AWI) | Bracher et al., Biogeosci., 2009, Sedaghi et al., Biogeosci., 2012 | Optical (a_{ph}) | Diatoms, Cocolithophores, Cyanobacteria | SCHYMACHY |
| Brewin et al.(PML) | Brewin et al., Ecological Modelling, 2009 | Abundance (Chla) | Micro, Nano, Pico | SeaWiFS R2010 |
| Bricaud et al. (LOV) | Ciotti & Bricaud, L&O Methods, 2006, Bricaud et al., GBC, 2012 | Optical (a_{ph}) | Micro, Pico | SeaWiFS R2010 |
| Hirata et al. (Hokkaido U) | Hirata et al., Biogeosci., 2011 | Abundance (Chla) | Micro, Nano, Pico, Diatoms, Eukaryotes, Green Algae, Pico-Cyanobacteria, Prochlorococcus | SeaWiFS R2010 |
| Hirawake et al. (Hokkaido U) | Fujiwara et al., Biogeosci., 2011 | Optical (a_{ph}) | Micro, Nano, Pico | SeaWiFS R2010 |
| Kostadinov et al. (U. of Richmond) | Kostadinov et al., Biogeosci., 2010 | Optical (b_{bp}) | Micro, Nano, Pico | SeaWiFS R2010 |
| Uitz et al. (LOV) | Uitz et al., JGR-Oceans, 2006 | Abundance (Chla) | Micro, Nano, Pico | SeaWiFS R2010 NOTE: MLD required |
| Roy et al. (U. Oxford) | Roy et al., J. Roy. Soc. Interface, 2011 | Optical (a_{ph}) | Micro, Nano, Pico | SeaWiFS R2010 |

Only Microplankton and Picoplankton (most common among these algors) are analyzed

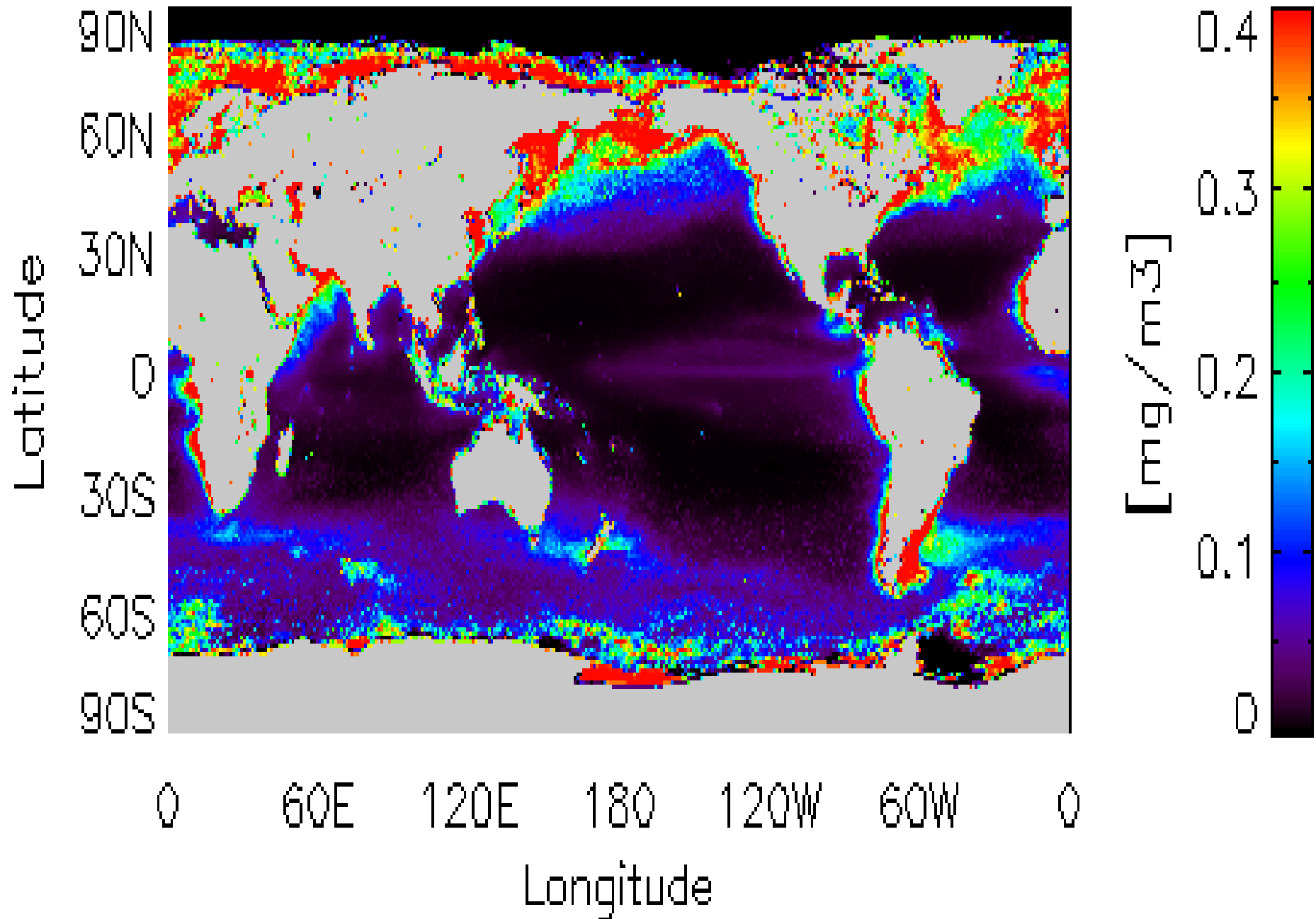


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Preliminary Results of Spatial Comparison

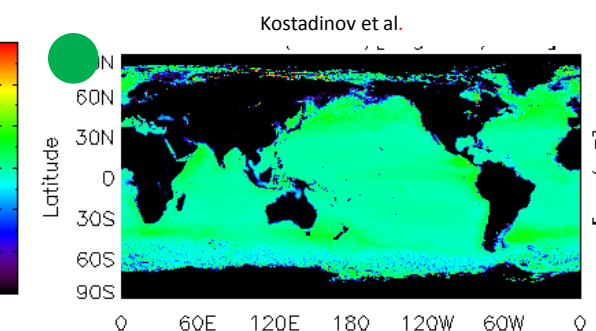
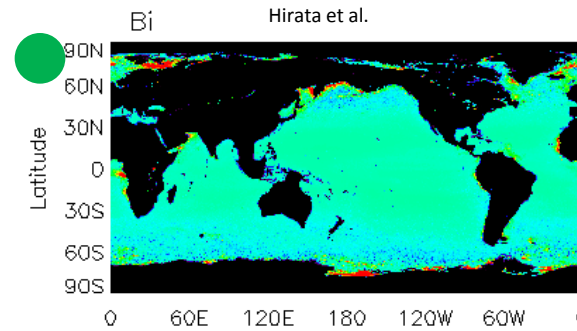
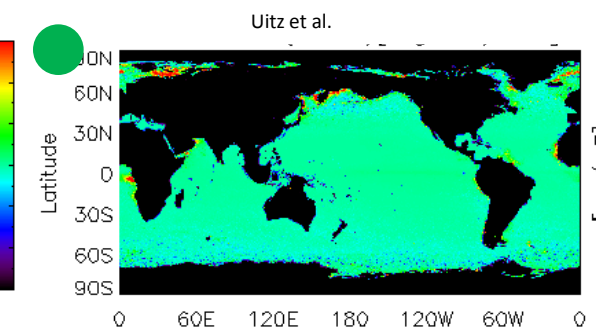
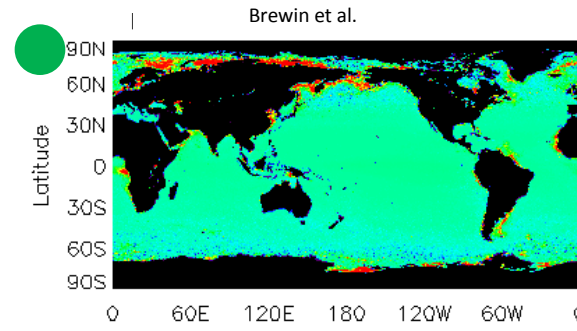
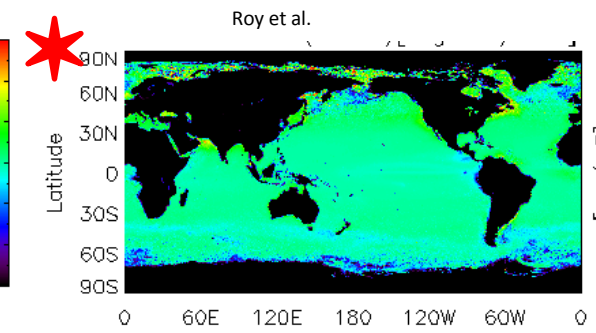
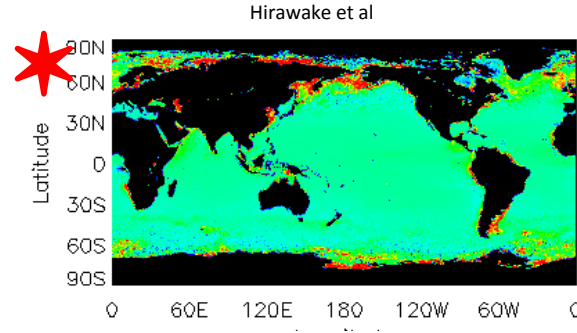
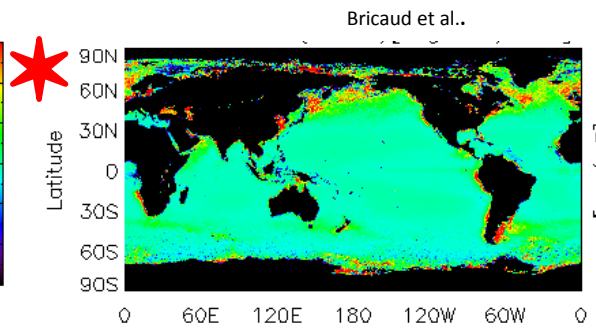
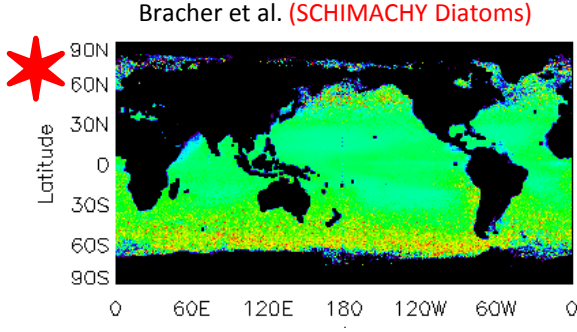
Ensemble mean (micro)[mgChla/m³]



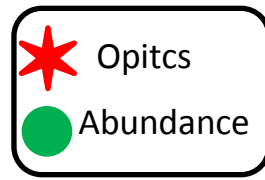
2003-2007 Bias Field (Micro-EnMean [mg/m³])

- ★ Opitcs
- Abundance

Tends to agree among algorithms, except in higher latitudes



Microplankton [mg/m³] Basin Average (2003-2007)



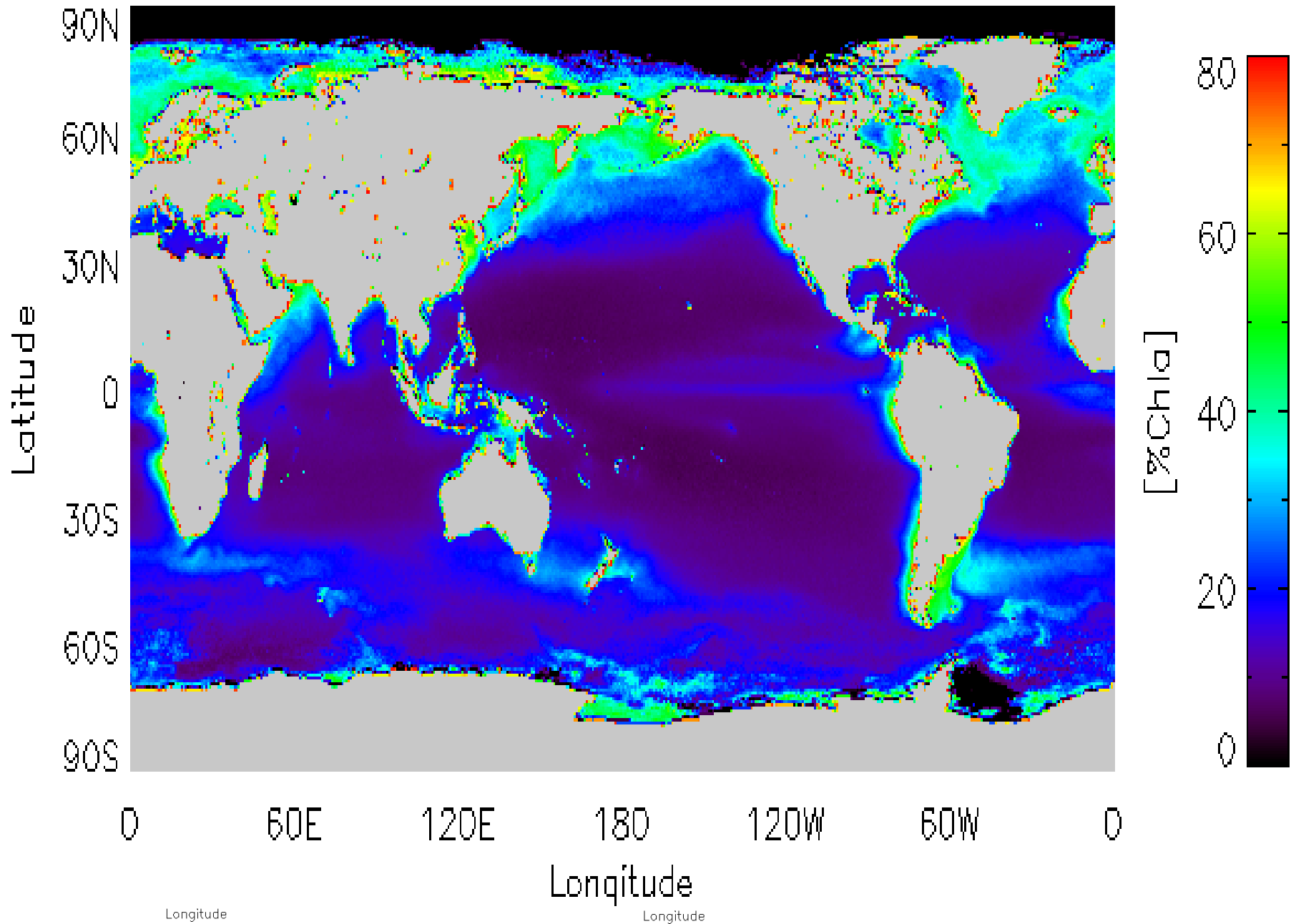
| | Bracher et al. | Bricaud et al. | Hirawake et al. | Roy et al. | Kostadinov et al. | Brewin et al. | Uitz et al. | Hirata et al. | Ave |
|-----|----------------|----------------|-----------------|------------|-------------------|---------------|-------------|---------------|-------|
| ARC | 0.319 | 0.759 | 0.866 | 0.359 | 0.495 | 0.892 | 0.399 | 0.400 | 0.561 |
| SOC | 0.356 | 0.243 | 0.294 | 0.089 | 0.117 | 0.231 | 0.152 | 0.231 | 0.214 |
| NAT | 0.076 | 0.247 | 0.252 | 0.138 | 0.155 | 0.229 | 0.116 | 0.092 | 0.163 |
| SAT | 0.143 | 0.071 | 0.069 | 0.051 | 0.059 | 0.061 | 0.039 | 0.023 | 0.064 |
| NPC | 0.066 | 0.190 | 0.198 | 0.115 | 0.131 | 0.191 | 0.081 | 0.081 | 0.132 |
| SPC | 0.072 | 0.060 | 0.064 | 0.047 | 0.054 | 0.069 | 0.052 | 0.044 | 0.058 |

| | Optics | Abundance | Relative diff. [%] |
|-----|--------|-----------|--------------------|
| ARC | 0.639 | 0.432 | 19.351 |
| SOC | 0.243 | 0.167 | 18.541 |
| NAT | 0.188 | 0.121 | 21.667 |
| SAT | 0.079 | 0.041 | 31.752 |
| NPC | 0.152 | 0.098 | 21.667 |
| SPC | 0.062 | 0.050 | 10.972 |

- Optical > Abundance in general
- Difference due to approach is evident
- ARC > SOC > NAT > NPC > SAT > SPC

Ensemble mean (micro)[%]

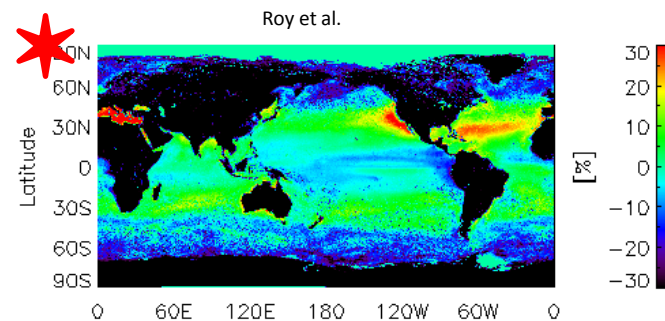
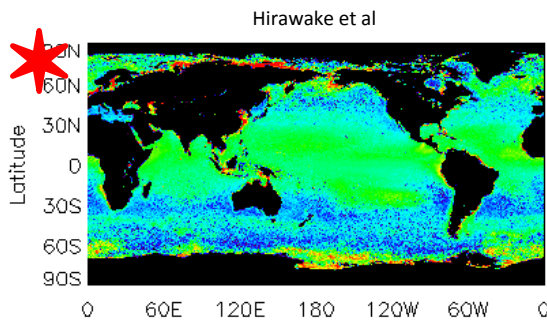
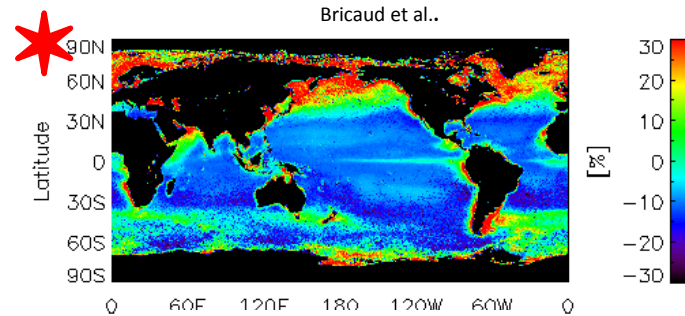
Opitcs



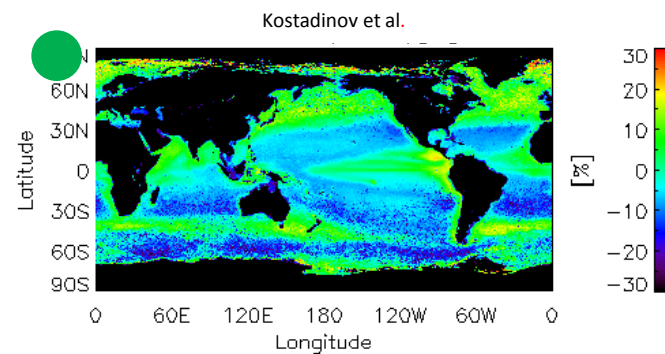
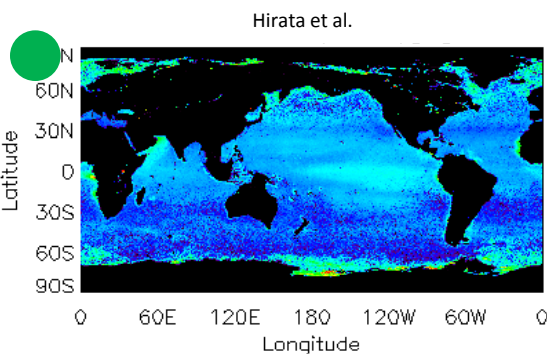
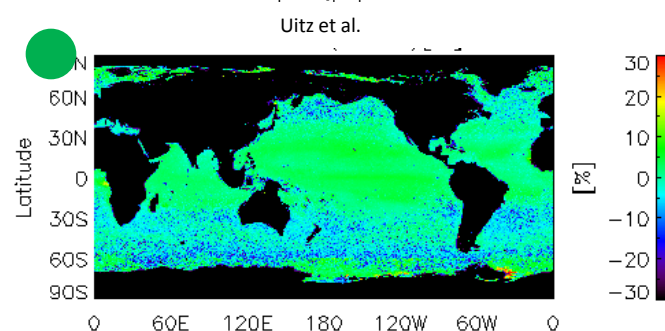
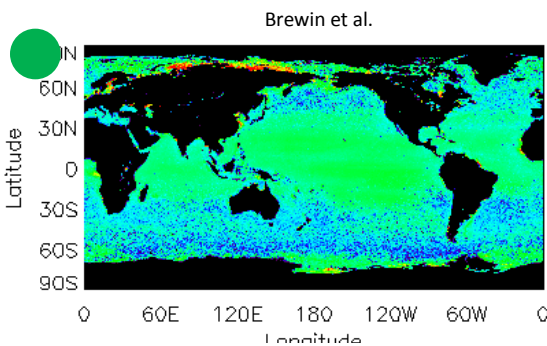
2003-2007 Bias Field (Micro [%]-EnMean)

★ Opitcs


● Abundance



More difference is found in [%] than in [mg/m³]



Microplankton [%] Basin Average (2003-2007)

 Opitcs
 Abundance

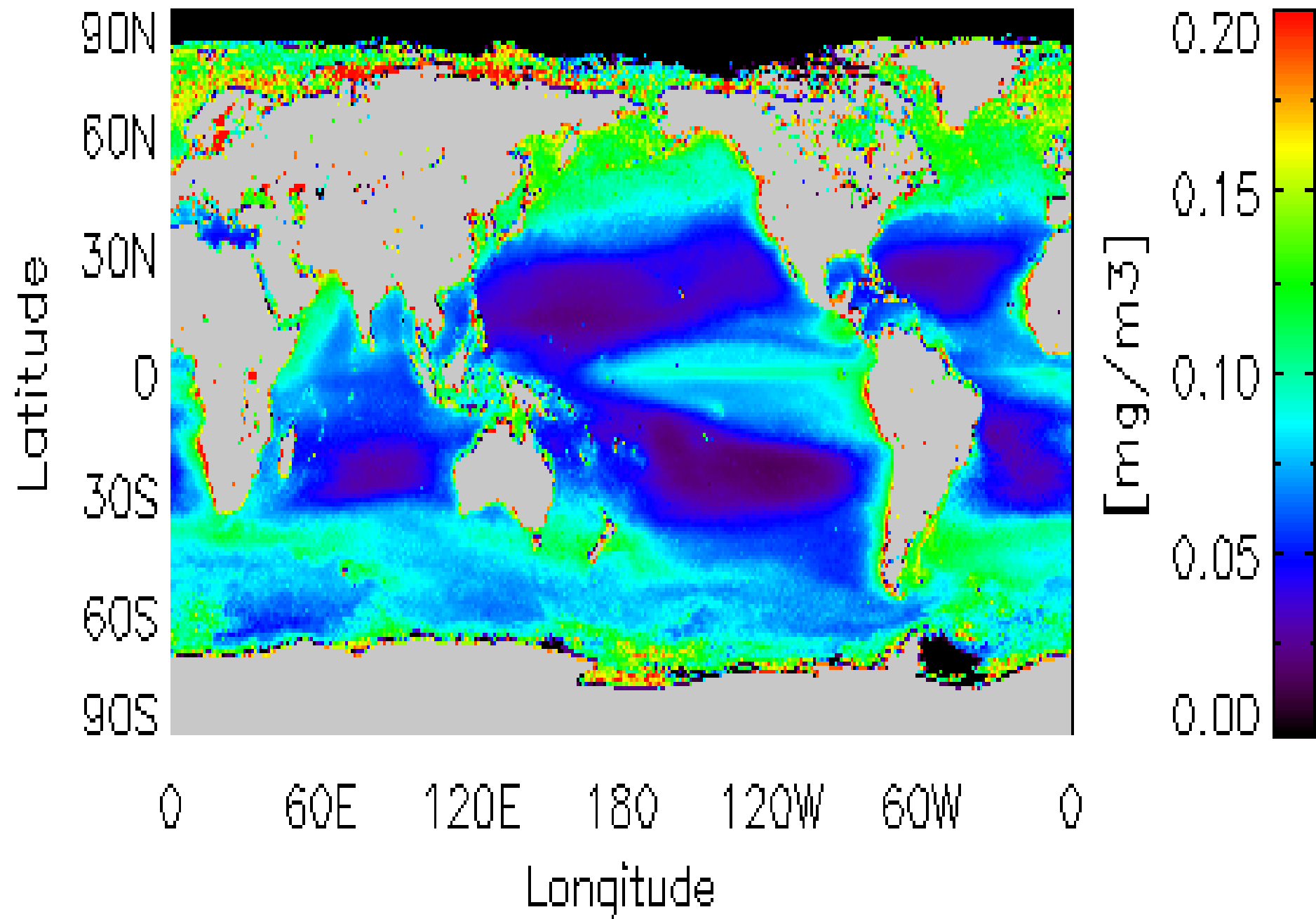


| | Bracher et al. | Bricaud et al. | Hirawake et al. | Roy et al. | Kostadinov et al. | Brewin et al. | Uitz et al. | Hirata et al. | Ave |
|-----|----------------|----------------|-----------------|------------|-------------------|---------------|-------------|---------------|-------|
| ARC | N/A | 62.67 | 39.43 | 3.22 | 40.73 | 36.61 | 31.63 | 27.09 | 34.4 |
| SOC | N/A | 27.05 | 29.46 | 5.45 | 20.32 | 22.80 | 24.81 | 16.77 | 20.95 |
| NAT | N/A | 21.82 | 23.93 | 16.89 | 19.24 | 21.04 | 18.59 | 9.57 | 18.72 |
| SAT | N/A | 13.91 | 17.61 | 17.16 | 17.60 | 17.46 | 17.46 | 8.17 | 15.62 |
| NPC | N/A | 19.93 | 23.49 | 27.73 | 21.29 | 20.59 | 18.90 | 10.78 | 20.38 |
| SPC | N/A | 11.55 | 16.40 | 19.02 | 15.33 | 16.79 | 17.23 | 8.16 | 14.92 |

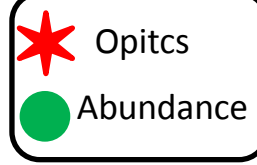
| | Optics | Abundance | Relative diff [%] |
|-----|--------|-----------|-------------------|
| ARC | 36.51 | 31.77 | 6.93 |
| SOC | 20.57 | 21.46 | -2.11 |
| NAT | 20.47 | 16.40 | 11.03 |
| SAT | 16.57 | 14.36 | 7.13 |
| NPC | 23.11 | 16.75 | 15.93 |
| SPC | 15.57 | 14.06 | 5.11 |

1. Optical > Abundance in general but values are more similar than the comparison in [mg/m³]
2. Difference due to approach is more remarkable in NAT & NPC
3. ARC > SOC > **NPC > NAT** > SAT > SPC

Ensemble mean (pico)[mgChla/m³]



Picoplankton [mg/m^3] Basin Average (2003-2007)



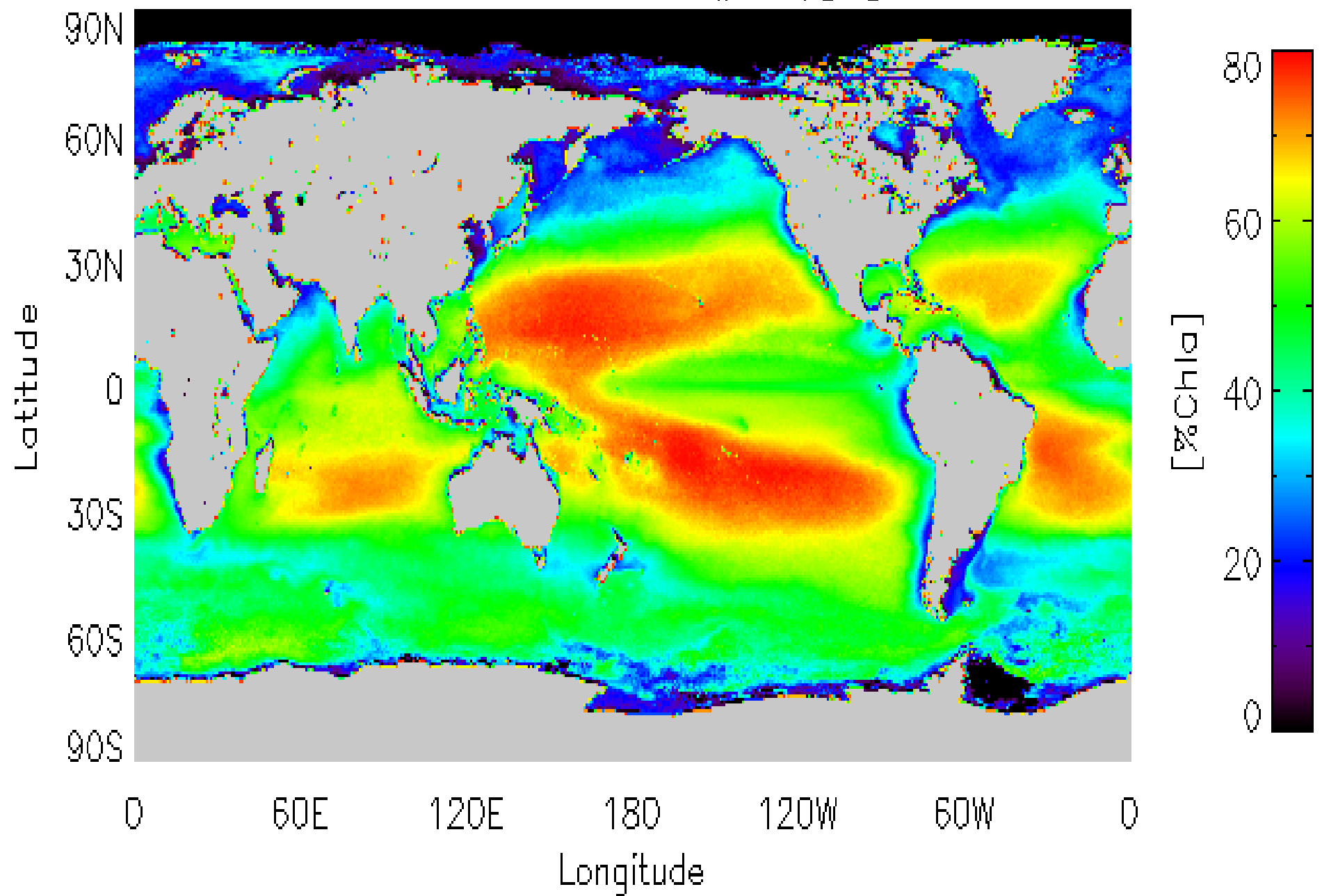
| | Bracher et al. | Bricaud et al. | Hirawake et al. | Roy et al. | Kostadinov et al. | Brewin et al. | Uitz et al. | Hirata et al. | Ave |
|-----|----------------|----------------|-----------------|------------|-------------------|---------------|-------------|---------------|-------|
| ARC | 0.237 | 0.192 | 0.223 | 0.103 | 0.102 | 0.103 | 0.135 | 0.161 | 0.157 |
| SOC | 0.002 | 0.168 | 0.114 | 0.128 | 0.081 | 0.082 | 0.085 | 0.109 | 0.096 |
| NAT | 0.010 | 0.134 | 0.102 | 0.053 | 0.063 | 0.064 | 0.065 | 0.072 | 0.070 |
| SAT | 0.024 | 0.150 | 0.101 | 0.066 | 0.065 | 0.069 | 0.065 | 0.069 | 0.076 |
| NPC | 0.008 | 0.157 | 0.108 | 0.050 | 0.067 | 0.072 | 0.073 | 0.082 | 0.077 |
| SPC | 0.015 | 0.142 | 0.093 | 0.062 | 0.057 | 0.064 | 0.063 | 0.068 | 0.070 |

| | Optics | Abundance | Relative diff. [%] |
|-----|--------|-----------|--------------------|
| ARC | 0.171 | 0.133 | 12.614 |
| SOC | 0.098 | 0.092 | 3.462 |
| NAT | 0.072 | 0.067 | 3.873 |
| SAT | 0.081 | 0.067 | 9.090 |
| NPC | 0.078 | 0.075 | 1.518 |
| SPC | 0.073 | 0.065 | 6.340 |

1. Optical > Abundance (especially in high latitudes = ARC) but the difference between optics- and abundance-based is less than in Micro

2. ARC > SOC > NPC > SAT ≥ NAT ≥ SPC

Ensemble mean (pico)[%]

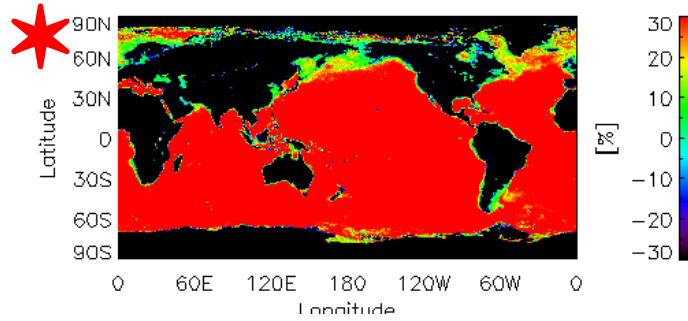


2003-2007 Bias Field (**Pico -EnMean[%]**)

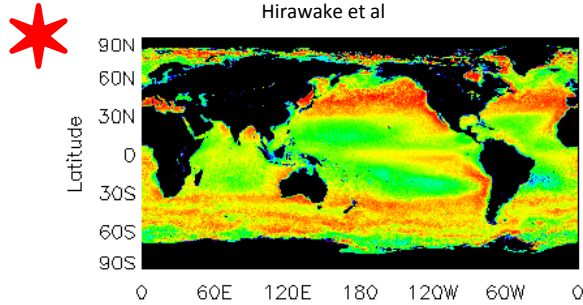
 Opitcs
 Abundance

In general, bias is larger in the subtropical gyres and/or Antarctic polar front, regardless of algorithms

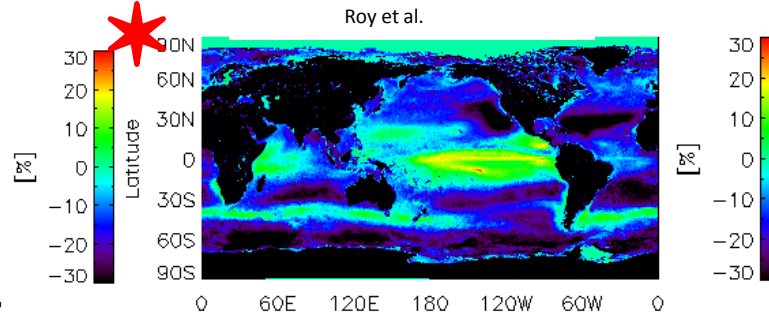
Bricaud et al..



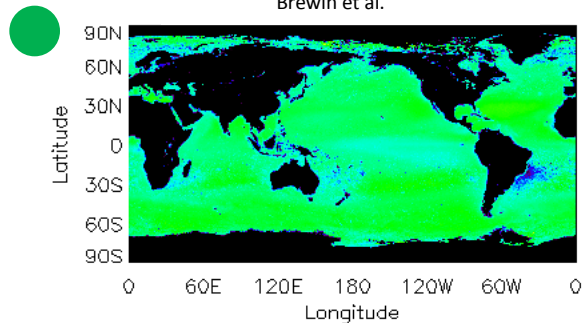
Hirawake et al



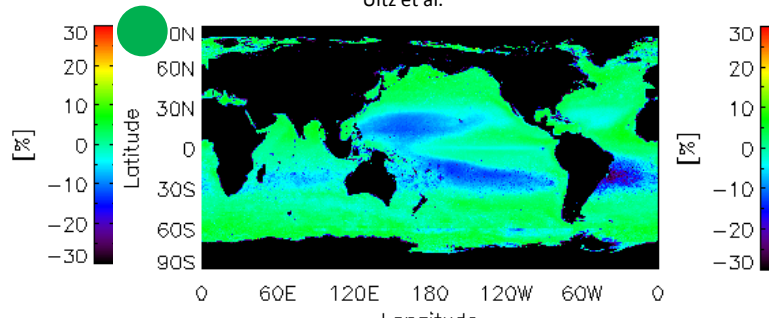
Roy et al.



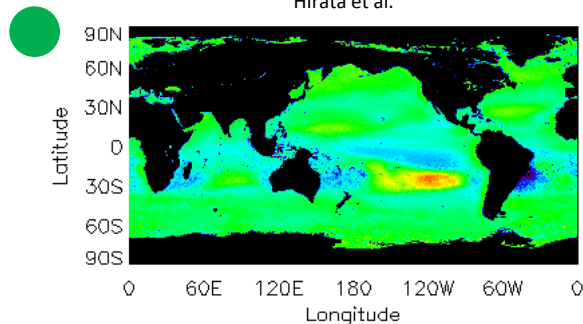
Brewin et al.



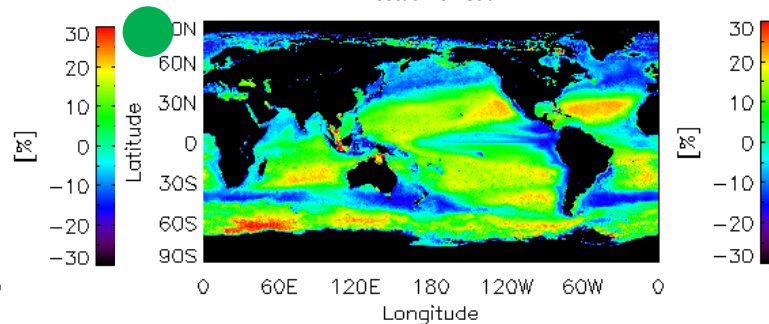
Uitz et al.





Hirata et al.



Kostadinov et al.



Picoplankton [%] Basin Average (2003-2007)

 Optics
 Abundance



| | Bracher et al. | Bricaud et al. | Hirawake et al. | Roy et al. | Kostadinov et al. | Brewin et al. | Uitz et al. | Hirata et al. | Ave |
|-----|----------------|----------------|-----------------|------------|-------------------|---------------|-------------|---------------|-------|
| ARC | N/A | 37.26 | 34.80 | 0.72 | 13.50 | 18.39 | 24.65 | 29.92 | 34.48 |
| SOC | N/A | 71.81 | 43.86 | 5.22 | 40.50 | 34.84 | 33.00 | 36.51 | 20.95 |
| NAT | N/A | 49.67 | 55.04 | 32.71 | 44.66 | 43.02 | 42.80 | 49.30 | 18.72 |
| SAT | N/A | 80.55 | 59.12 | 32.16 | 42.97 | 43.18 | 41.51 | 43.21 | 15.62 |
| NPC | N/A | 69.41 | 54.42 | 18.46 | 37.90 | 40.04 | 41.06 | 43.15 | 20.38 |
| SPC | N/A | 78.56 | 60.77 | 31.23 | 48.03 | 45.75 | 42.54 | 45.45 | 14.92 |

| | Optics | Abundance | Relative diff. [%] |
|-----|--------|-----------|--------------------|
| ARC | 36.51 | 31.77 | 6.93 |
| SOC | 20.57 | 21.46 | -2.11 |
| NAT | 20.47 | 16.40 | 11.03 |
| SAT | 16.57 | 14.36 | 7.13 |
| NPC | 23.11 | 16.75 | 15.93 |
| SPC | 15.57 | 14.06 | 5.11 |

1. Optical > Abundance in general
1. Difference due to approaches is more remarkable in NAT & SAT
3. ARC > SOC > NPC > NAT > SAT > SPC



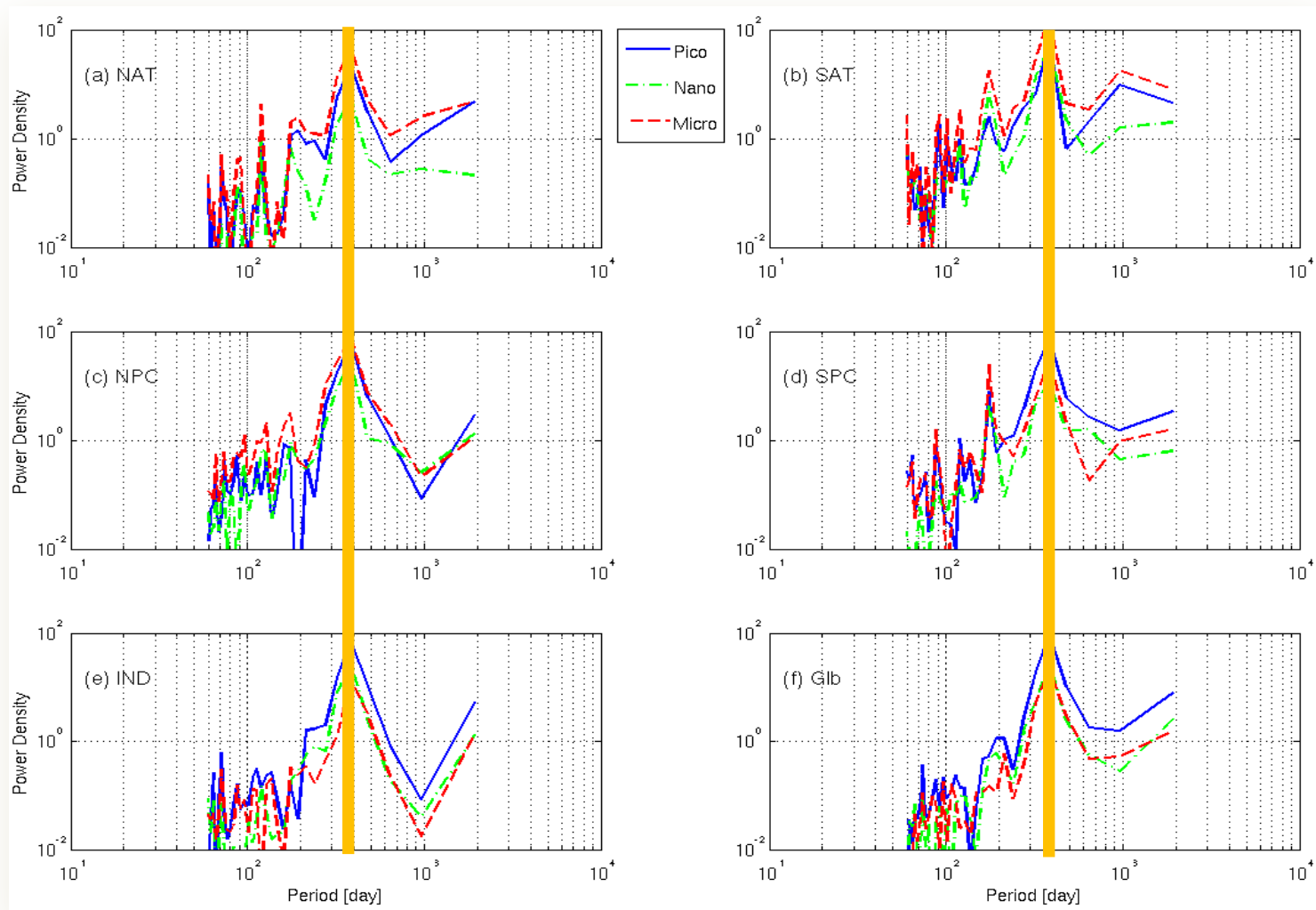
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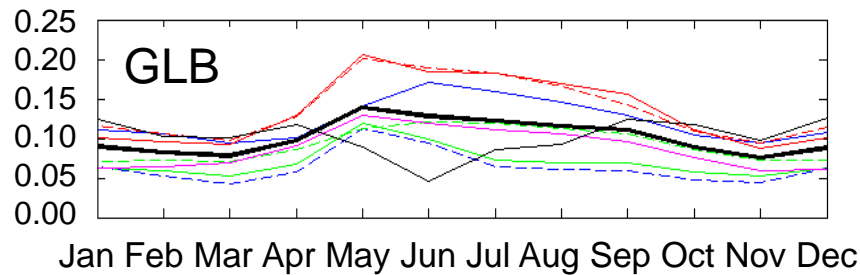
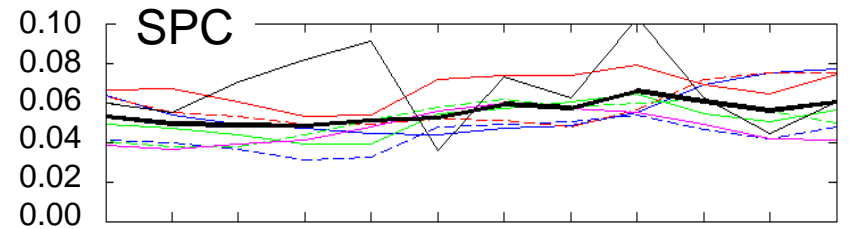
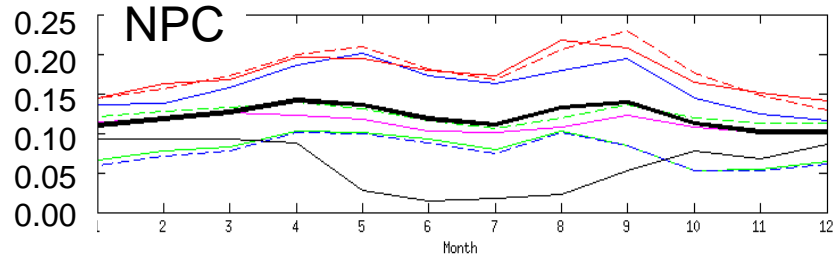
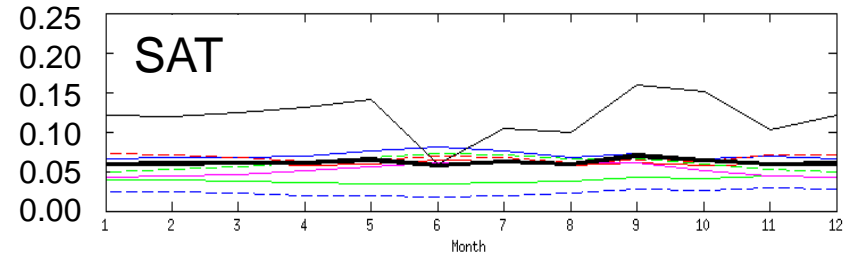
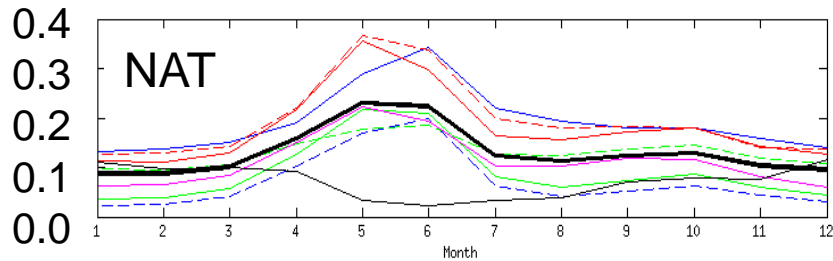
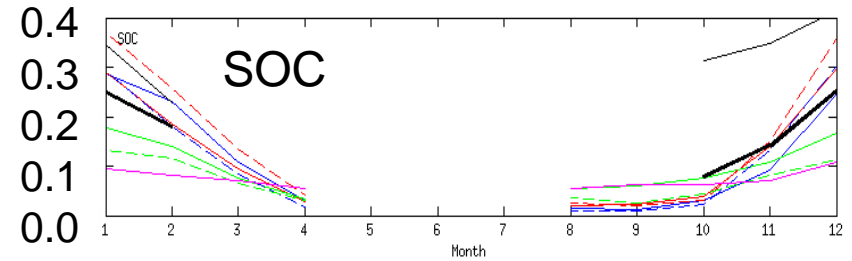
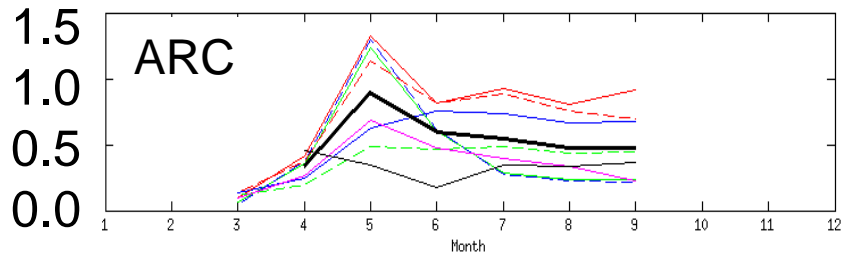
Preliminary Results of Temporal Comparison



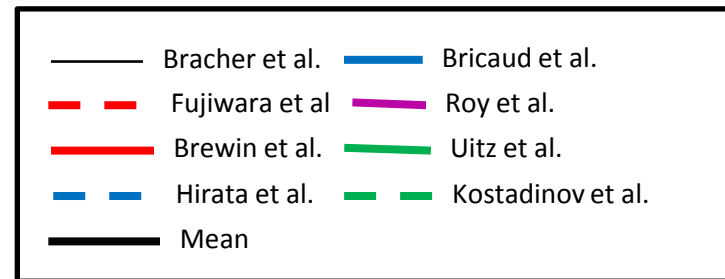
Seasonality is most dominant in PFT dynamics



Microplankton [mg/m^3]: Seasonality (monthly climatology)

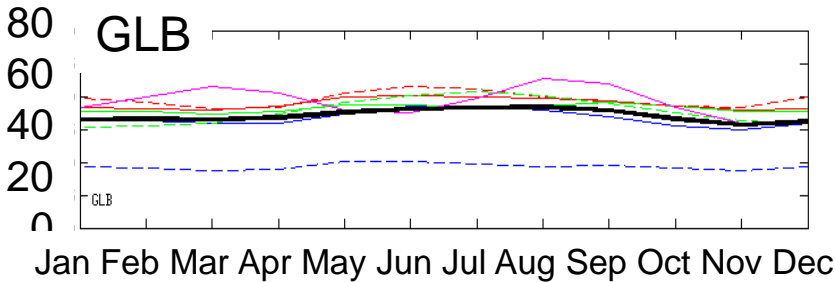
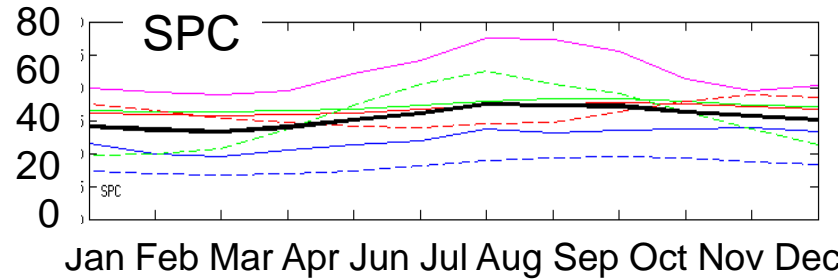
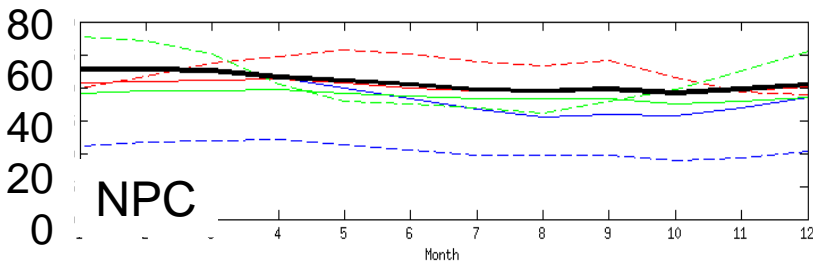
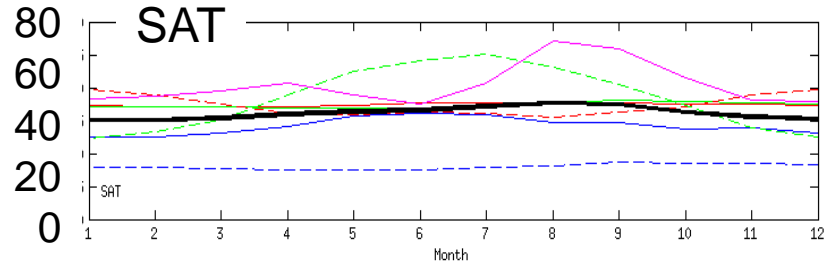
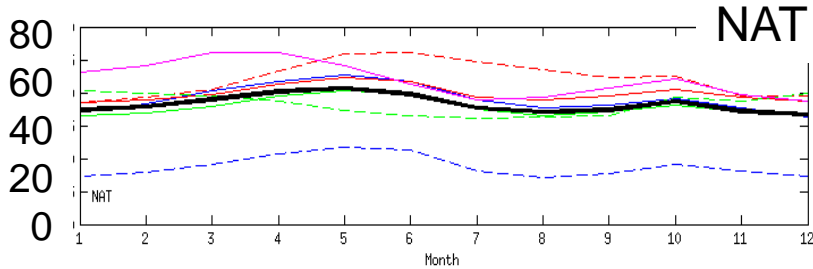
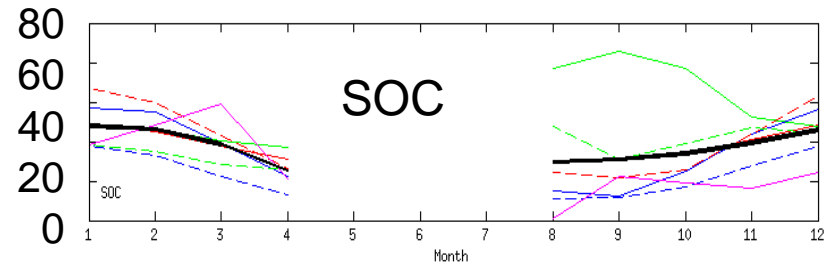
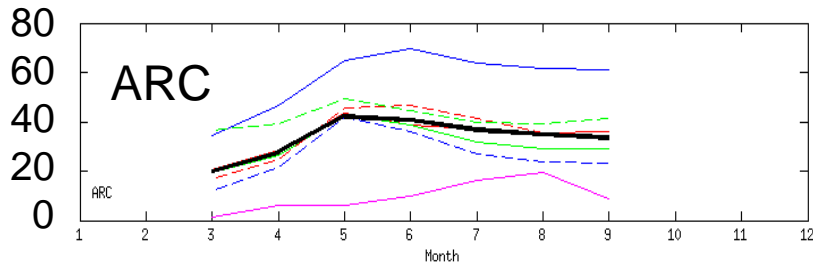


Jan Feb Mar Apr Jun Jul Aug Sep Oct Nov Dec



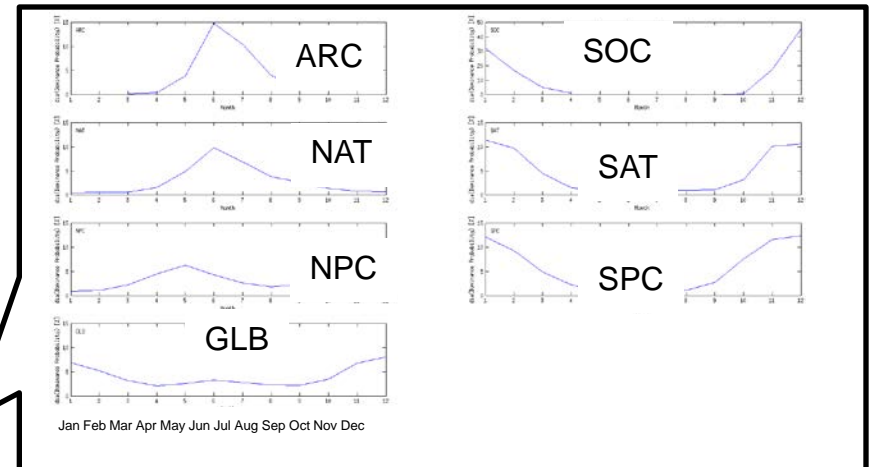
Peak timing generally agrees

Microplankton [%]: Seasonality (monthly climatology)

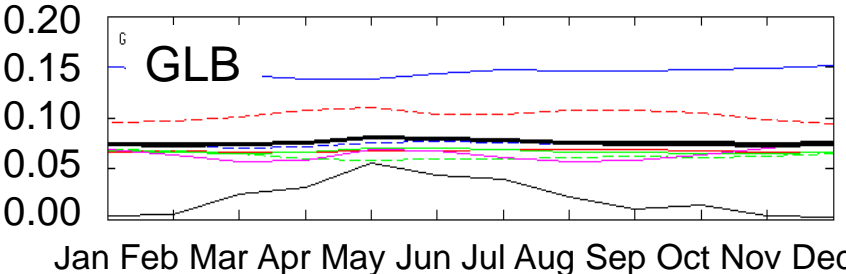
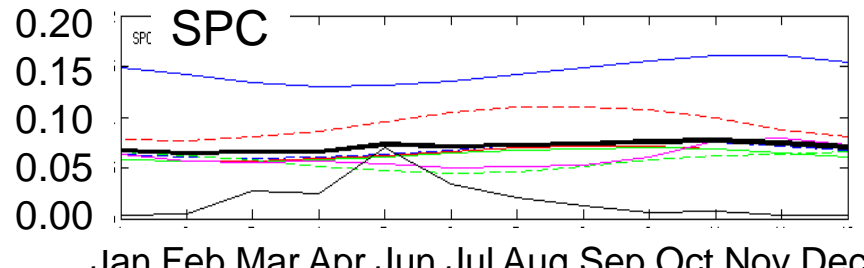
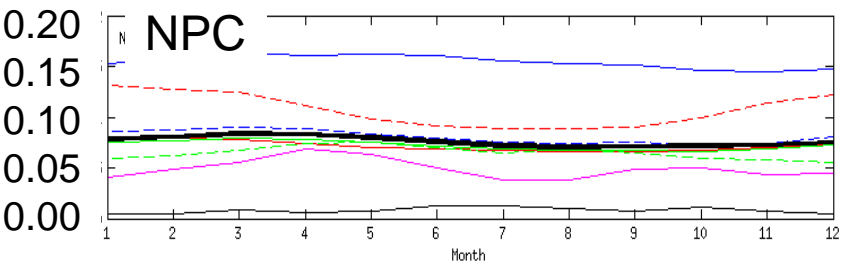
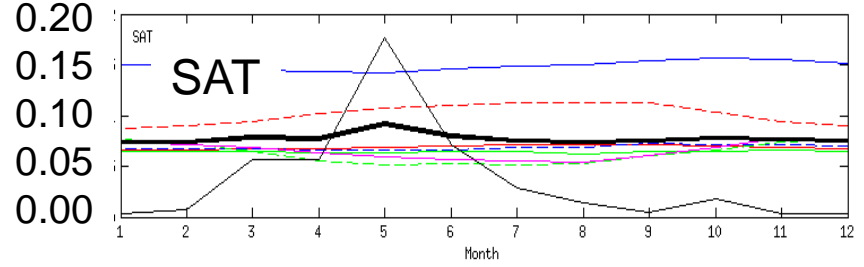
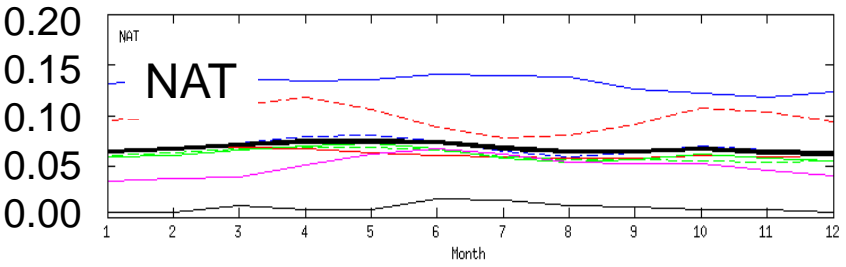
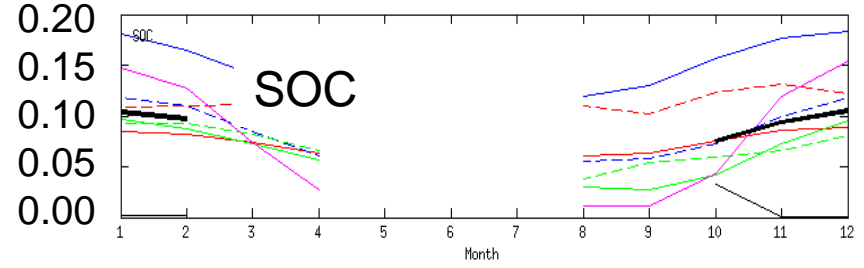
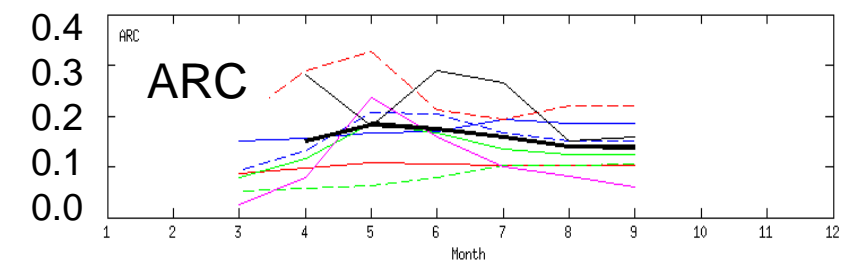


- Bracher et al.
- Fujiwara et al.
- Brewin et al.
- Hirata et al.
- Mean
- Bricaud et al.
- Roy et al.
- Uitz et al.
- Kostadinov et al.

Alvain et al.
Diatom algor.



Picoplankton [mg/m^3]: Seasonality (monthly climatology)

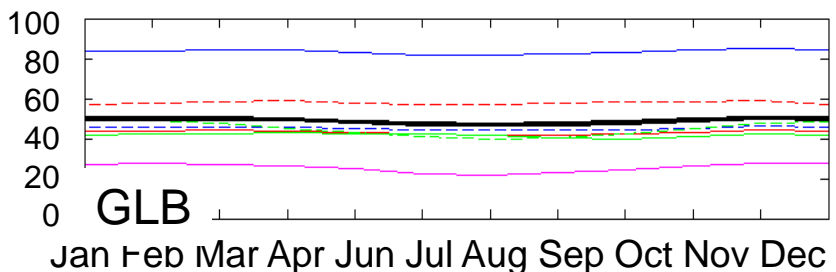
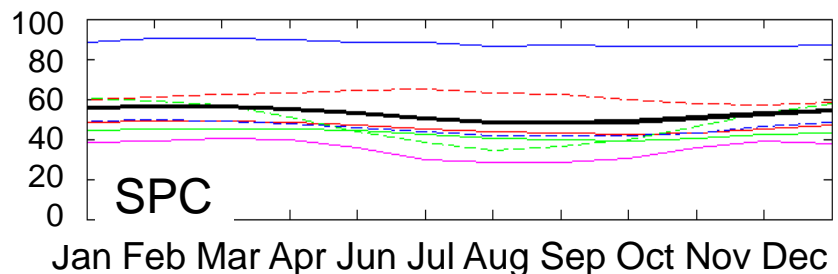
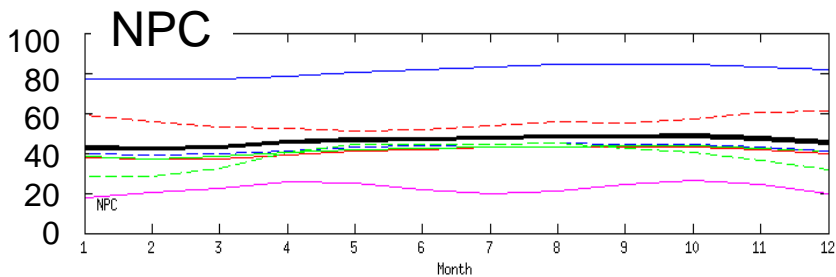
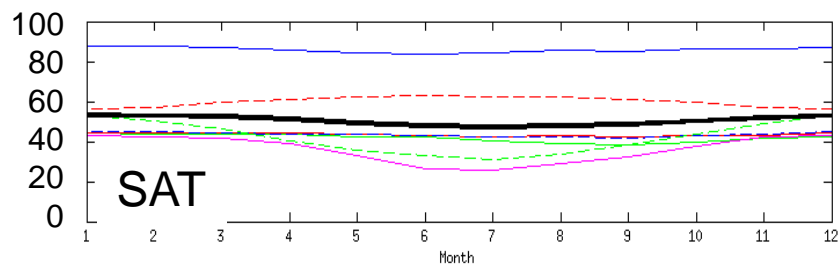
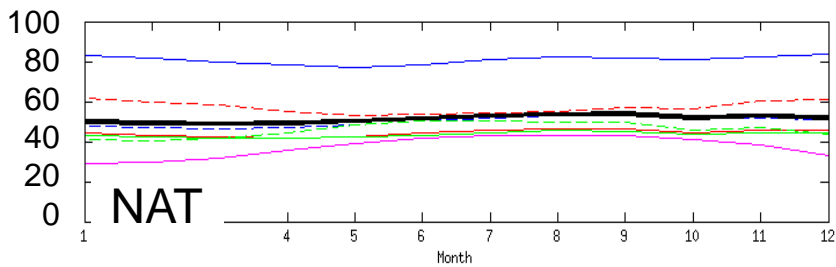
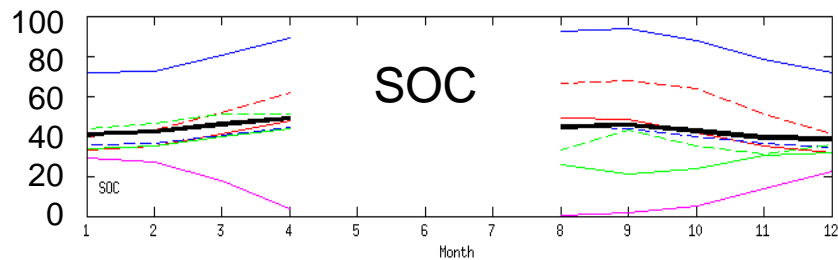
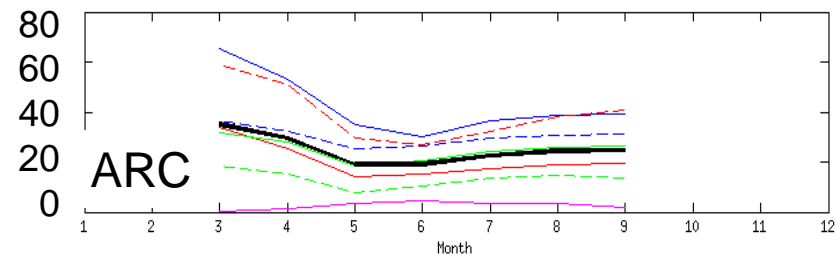


Jan Feb Mar Apr Jun Jul Aug Sep Oct Nov Dec

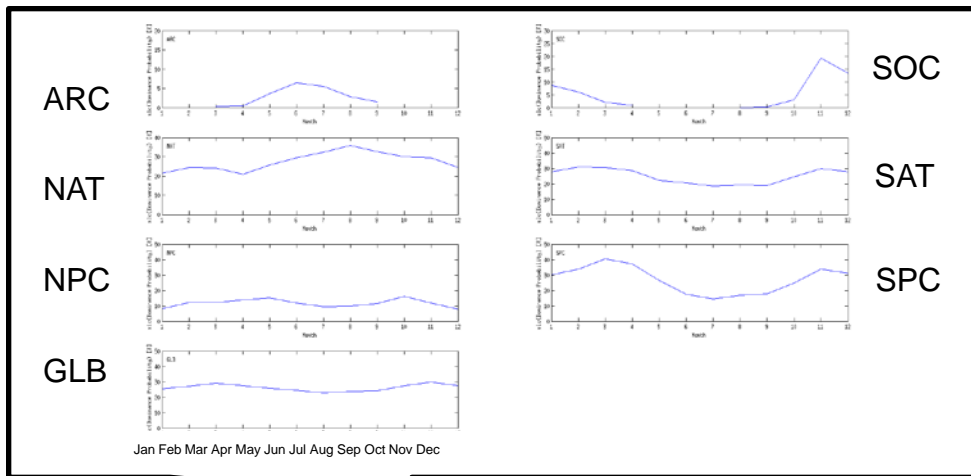
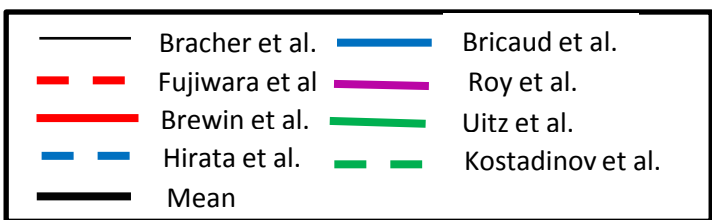


Jan Feb Mar Apr May Jun Jul Aug Sep Oct Nov Dec

Picoplankton [%]: Seasonality (monthly climatology)

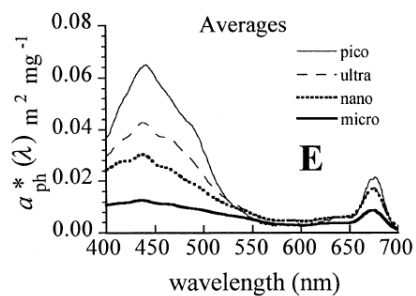


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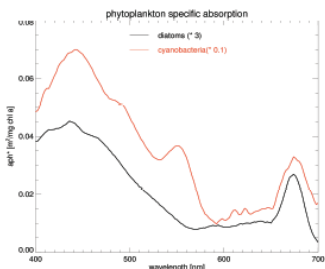


Jan Feb Mar Apr May Jun Jul Aug Sep Oct Nov Dec

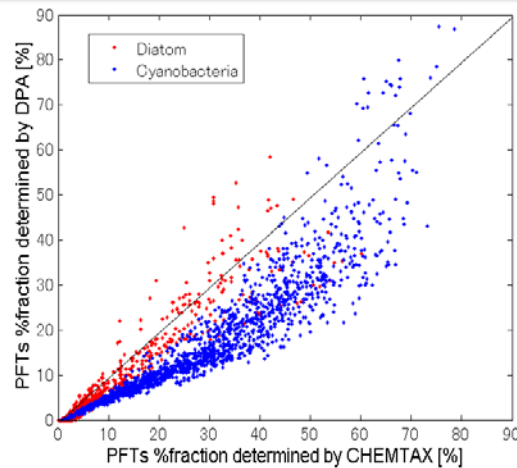
| | Micro | Pico | Algorithm |
|----------------------------|--|---|--|
| Size Definition | > 20 μm | < 2 μm | Kostadinov et al. |
| HPLC Definition | Fucoxanthin (DPA, CHEMTAX etc) | Zeaxanthin (DPA, CHEMTAX etc) | Alvain et al., Brewin et al., Hirata et al., Uitz et al. |
| a_{ph} Definition | a_{ph}^* for > 20 μm | a_{ph}^* vs for < 2 μm | Bricaud et al. Hirawake et al. Roy et al. |
| | a_{ph}^* from dominant Fuco samples | a_{ph}^* from dominant Zea samples | Bracher et al. |



Ciotti et al., 2002



Bracher et al., 2009



Comparison between
DPA & CHEMTAX using
NOMAD data set
(Werdell and Bailey, 2005)



International Ocean Colour Science
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Advancing Global
Ocean Colour
Observations

Algorithm Validation Plan

Algorithm Comparison: Guiding Principles

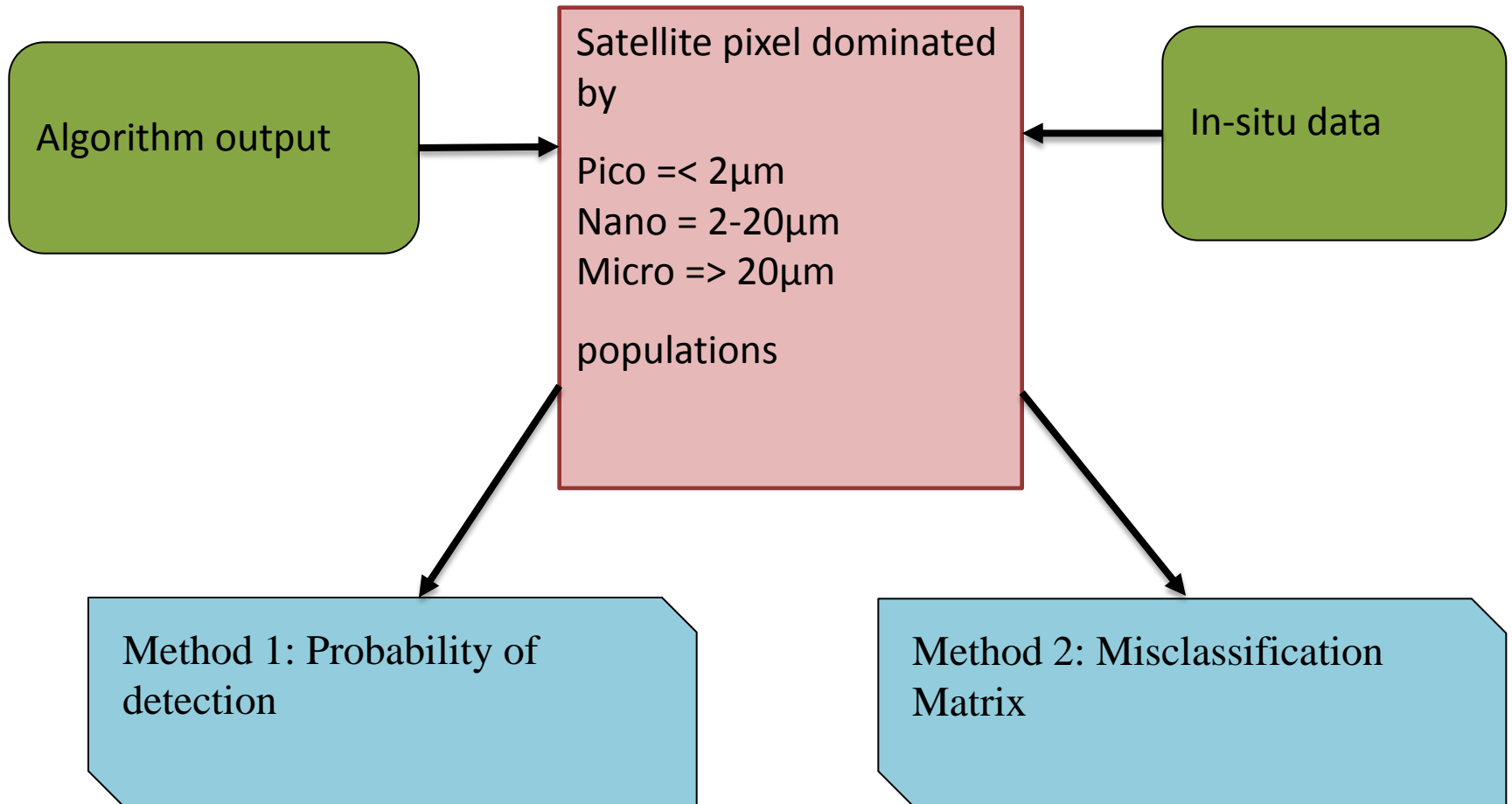
- Approach should be independent from the algorithm developers
- Need to consider what is important for the eventual end users e.g. oceanographic model assimilation
- Need to find parameters for comparison;
 - May not exist within the outputs of all the algorithms or be an indirect output (e.g. dominance frequencies)
 - It's likely to require an element of modelling and/or making certain assumptions for the in-situ data.
- Where possible, validation in-situ data should not have been used in algorithm development or (if not possible) dependency needs to be quantified
- Should the PFT algorithms be partitioned into common categories? Or perform an overarching comparison incorporating all techniques?

Algorithm Comparison: Proposed Steps

PFT workshops @ Sapporo 2011, @Glasgow 2012

- 1) Repeat previous work as conducted by Brewin et al (2011, RSE):
 - To see if there have been improvements since the previous comparison and included further algorithms where available.
 - However, the approach may be deemed biased toward size-models and less suitable for PFT models.
 - Also, fractionation rather than just dominance may now be considered more relevant.
 - Therefore, include further approaches...
- 2) Independent validation against most-relevant in-situ data
- 3) Time-series analysis as PFT vs. in-situ and PFT vs. PFT comparisons

1) Dominant Phytoplankton Size Class Comparison on Pixel Basis i.e. repeat Brewin et al (2011, RSE)

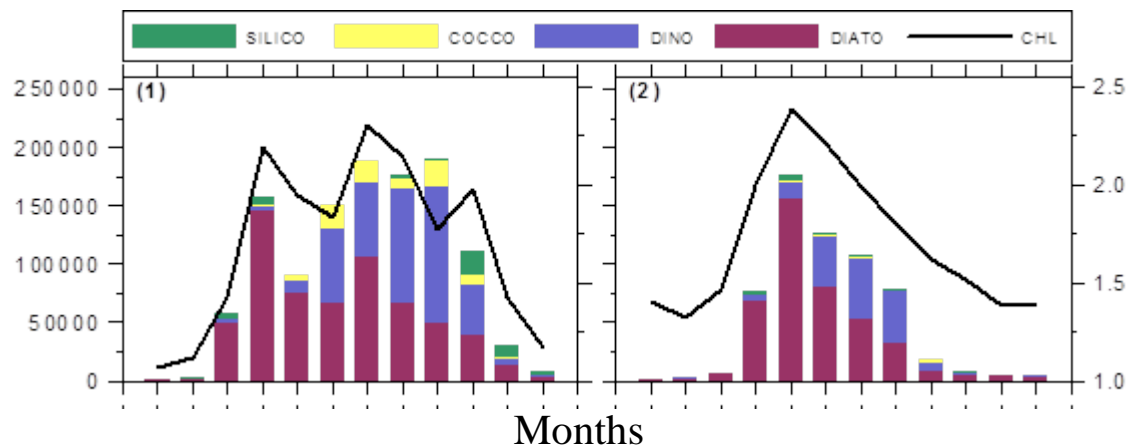


2) Independent Validation Against In-Situ Data

- Independent validation against in-situ data
 - Satellite input is fraction / percentage of each PFT population
 - Estimate of uncertainty for both in-situ and satellite data needed
- Approach:
 - Calculate statistics: Pearson correlation coefficient (r); Type 2 regression (slope and intercept); Root Mean Square Error (RMSE); Bias; Number of samples
 - Score statistics .e.g. as undertaken within Ocean Colour CCI intercomparison on basis of mean of all models
 - Plot frequency distribution, Taylor and Target diagrams

2) Time-Series Analysis

- Ability to produce a realistic time-series (phytoplankton seasonality) e.g.
 - plotting of time-series (Hoffmueller) diagrams and climatological plots (see below)
 - calculating time-lagged correlation coefficients
- Potential PFT comparison data sources: BATS, CPR, HOTS & L4



Example for plotting: part of Fig. 8.2 from Lavender et al. (2008, RS of the European Seas) showing climatological monthly plots for CPR cell counts and Chl-a

IOCS, May 2013

Summary

1. Optical- approach tends to show higher values than abundance-based in Microplankton
 2. Discrepancy among algorithms using different sensors are obvious (but need an attention to interpret this result)
 3. Generally, larger discrepancy among algorithms tends to appear in higher latitudes, and in [%] than in [mg/m³]
(more focus should be directed to higher latitudes)
 4. Picoplankton [%] showed a relatively larger discrepancies among algorithms
(more focus should be directed to Picoplankton than Microplankton)
- Microplankton abundance:
ARC > SOC > NAT > NPC > SAT > SPC in [mg/m³]
ARC > SOC > NPC > NAT > SAT > SPC in [%]
 - Picoplankton abundance:
ARC > SOC > NPC > SAT ≥ NAT ≥ SPC in [mg/m³]
ARC > SOC > NPC > NAT > SAT > SPC in [%]

Validation protocol is being constructed in reference to Brewin et al., 2011