

Above water-protocols, Past and present

UNIVERSITY
OF MIAMI



Kenneth Voss

5/17/17, Advances in protocols for water-leaving radiance and
related parameters

The parameter I will focus on is the Remote sensing reflectance:

$$R_{RS}(\lambda, \theta, \phi \in \Omega_{FOV}; \theta_o) = \frac{L_w(\lambda, \theta, \phi \in \Omega_{FOV}; \theta_o)}{E_s(\lambda; \theta_o)}$$

But to compare measurements we should probably be talking about, the exact normalized remote sensing reflectance:

$$R_{RS}^{Ex}(\lambda) = \frac{L_{WN}^{ex}(\lambda)}{F_o(\lambda)}$$

Where appropriate corrections have been done to change L_w to the exact L_w , through some bi-directional reflectance model, and F_o is the mean solar extraterrestrial irradiance. Opens a long discussion on how to do the corrections....so will focus on R_{RS} .

There are actually three protocols currently listed:

1) Use a calibrated radiance detector and irradiance collector. Measure $L_{sfc}(\lambda, \theta, \phi \in \Omega_{FOV}; \theta_o)$, $L_{sky}(\lambda, \theta_{sky}, \phi_{sky} \in \Omega'_{FOV}; \theta_o)$, $E_s(\lambda; \theta_o)$

Combine these as $L_w = L_{sfc} - \rho L_{sky}$ then combine to get R_{RS}

2) Use an uncalibrated radiance and reflectance plaque. Measure

$S_{sfc}(\lambda, \theta, \phi \in \Omega_{FOV}; \theta_o)$ $S_{sky}(\lambda, \theta_{sky}, \phi_{sky} \in \Omega'_{FOV}; \theta_o)$

Then measure the plaque (for which the BRDF is assumed to be lambertian) with radiometer (S_{plaque}), with plaque held horizontally.

Then:

$R_{RS} = (S_{sfc} - \rho S_{sky}) / S_{plaque} * F$, where F includes factors such as the BRDF and π . **(note all terms can vary spectrally)**

3) Use a calibrated radiance detector, with a sunphotometer (to get aerosol properties. Measure the surface radiance, L_{sfc} , and calculate all the other required parameters to generate R_{RS} .

Current NASA protocol

I will concentrate on methods 1 and 2, which are the most commonly used.

Different considerations on the radiance measurements:

- 1) Field of view of radiometer...small field of view: no averaging, large field of view: poor angular resolution for surface reflectance. Protocol says people have used 2-18 deg, with no recommendation.
- 2) Position on ship: towards bow (to get in front of wake).
- 3) angle: $(\theta, \Phi) = (40-45^\circ, 135^\circ)$, also $\theta_o > 20^\circ$
- 4) Make many measurements over a period of seconds or minutes for averaging.
- 5) suggest with a fiber optic spectrometer (now instrument of choice) repeating the sequence of plaque- surface- sky 5 or more times, with a dark reading between each reading. Before averaging throw out positive outliers (possible foam, whitecaps or strong glint).
- 6) Sky measurement should be done at reciprocal angles. If partly cloudy, adjust view angles to cover clear sky segment (?).



Considerations for downwelling irradiance measurements:

For method 1

- 1) mount irradiance collector in a location which has a clear sky view.
- 2) Eliminate any measurements where the tilt of the collector is more than 5° from the horizontal.

For Method 2 (plaque)

- 1) many used 10% reflectance plaques, but 99% plaques have better BRDF characteristics.
- 2) hold Plaque horizontal in a location exposed to the sun and sky in all directions.
- 3) Align the radiance sensor at an angle consistent with the solar direction and the plaques BRDF characteristics.

Current NASA protocol

Ancillary data:

- 1) Date/Time
- 2) Position
- 3) viewing zenith and azimuth angles, and solar azimuth relative to ship heading
- 4) direction of sun relative to ships heading (redundant?)
- 5) cloud cover and sky conditions (picture?).
- 6) wind speed and direction
- 7) Sea state (wave height, whitecap coverage, direction, height and period of dominate wave swell.
- 8) barometric pressure
- 9) Secchi depth
- 10) Dark data filename logged
- 11) times, locations, and file id of associated CTD, insitu fluorescence, inwater radiometry, and IOP profiles
- 12) associated water samples
- 13) file names for portable radiometric reference standards (if any)
- 14) Instrument identification
- 15) calibration date and file identification

NOAA/STAR (Mike Ondrusek et al)

- 1) 10° field of view (ASD)
- 2) $(\theta, \Phi) = (40^\circ, 135^\circ)$
- 3) 5 scans of sky, 5 scans grey card, 5 scans of water.
- 4) processed with NRL software, produces
 - R_{RS_sfc} no NIR reflectance
 - $R_{RS_fresnel}$ Fresnel correction omitted
 - R_{RS_Carder} and Steward (1985)
 - R_{RS_Lee} et al (1997)
 - R_{RS_Gould} et al (2001)

AERONET-OC, Zibordi et al.

- 1) Modified CIMEL ASSR radiometer, 1° FOV.
- 2) $(\theta, \Phi) = (40^\circ, 90^\circ)$
- 3) automated system so takes many measurements, these are filtered according to Zibordi 2012 and Zibordi et al. 2009
- 4) Downwelling irradiance computed and L_w determined following Zibordi et al. 2009 for clear sky only.
- 5) several QC steps

UMB (Lee et al.)

- 1) Two instruments, Spectral Evolution instrument and Spectrix
Spectral Evolution has 10° FOV
- 2) For Spectral Evolution, measured downwelling irradiance with a cosine collector, For Spectrix a grey card was used.
- 3) $(\theta, \Phi) = (30^\circ, 90^\circ)$
- 4) Processing follows Lee et al (1997, 2010).

USF (Hu et al.)

- 1) ASD HandHeld2-pro spectroradiometer, 7.5 degree field of view
- 2) $(\theta, \Phi) = (30^\circ, 90-120^\circ)$
- 3) grey card was measured (assume 10%?) Instrument held 30 cm above reference plaque.

CCNY (Gilerson et al.)

- 1) GER 1500 Field Portable spectroradiometer, 4° FOV
- 2) $(\theta, \Phi) = (40^\circ, 90^\circ)$
- 3) use a white (99%) spectralon plaque to get irradiance
- 4) make 4 consecutive measurements of the surface, 4 consecutive measurements of the sky, and 4 consecutive measurements of the plaque. All measurements were averaged.
- 5) During processing (at least for the NOAA cruise), the $R_{RS}(750)$ is subtracted from the entire R_{RS} spectrum.

They also use an ASD Handheld 2 radiometer, and have a HyperSAS-POL which does above water measurements...but with a lot of extra polarization information.

USM and NRL (Arnone et al.)

- 1) ASD FieldSpec radiometer, 10°FOV.
- 2) use 10% grey card with a known BRDF, assumed to be semi-lambertian
- 3) $(\theta, \Phi) = (45^\circ, 90-135^\circ)$
- 4) 5 consecutive measurements of the grey card (S_g), water (S_{sfc}), and sky (S_{sky}). Before each type of measurement dark counts were obtained.

What should the protocol be?

Open questions:

Field of view?

Sampling directions?

99% or 10% grey card?

Measurement sequence....5 samples, alternate? Average all? Throw out High values?

Is it better to do Method 1 (all radiometric measurements) then Method 2 (basically relative measurements).

Should Method 3 be in the revised protocols? Does anyone still use this method?

Spectral reflectance factor (ρ)?

What should the protocol be?

Open questions:

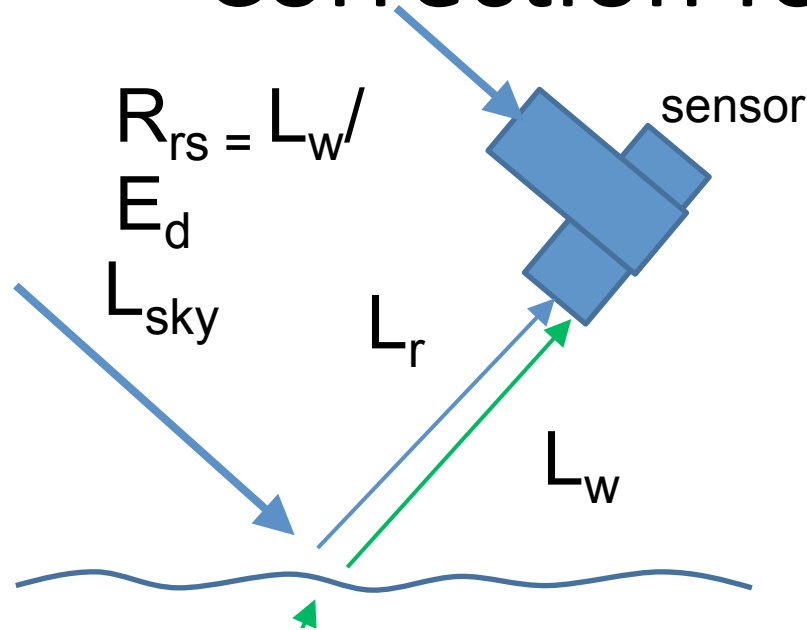
Polarization effects in rho?

Avoiding superstructure perturbations...

Associated...how do you measure a plaque with a radiometer, without perturbing the environment (my question....).

What steps should be done for QC and QA?

Correction for sky/sunglint



Measure $L_t = L_w + L_r$ and L_{sky}

Model $L_r = \rho_F * L_{sky}$
with $\rho_F(\text{Wind, SZA})$

Usually based on Cox-Munk and Hydrolight
(scalar r/t) [Mobley, 1999]

BUT

- Polarisation is important Use vector r/t [Harmel, 2012; Mobley, 2015; D'Alimonte, 2016]
- Waves may be not Cox-Munk, e.g. fetch-limited; swell Limit ρ_F or model better?
- Sky contribution to L_r not just in L_{sky} direction and may be different from simulations
- Very local wind speed may be inaccurate Add to uncertainty
- Fast sensor (e.g. multispectral) allows removal of sunglint flashes [Hooker, Zibordi], slow sensor (e.g. hyperspectral) averages them
- Sunglint/Foam different colour to Skyglint (L_{sky})

AND

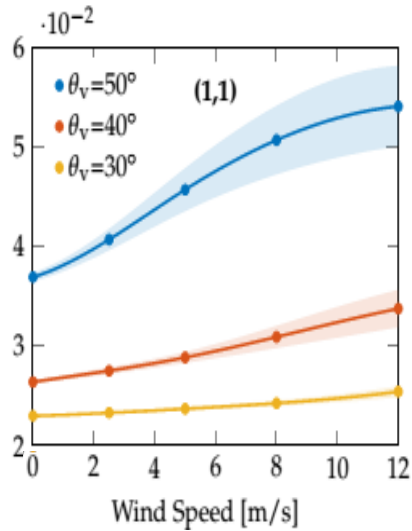
Note: uncertainty in $\rho_F * L_{sky}$ gives absolute uncertainty for R_{rs}

Model jointly L_w and L_r ? [Lee, 1996]
... constrain L_w smooth across atmospheric absorption [Simis, 2013]

+
Use NIR to constrain [Gould, 2001] or QC [Ruddick, 2005] R_{rs}

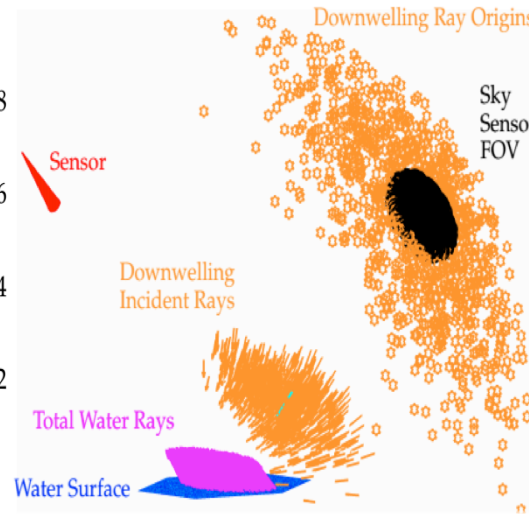
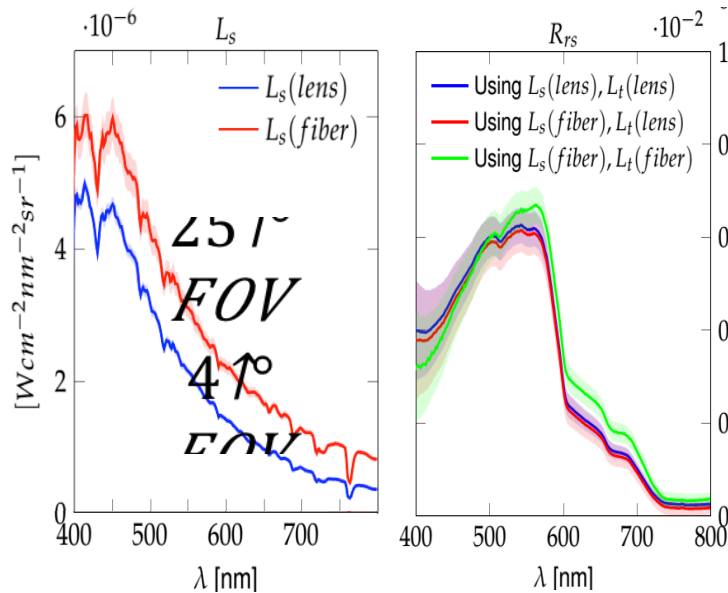
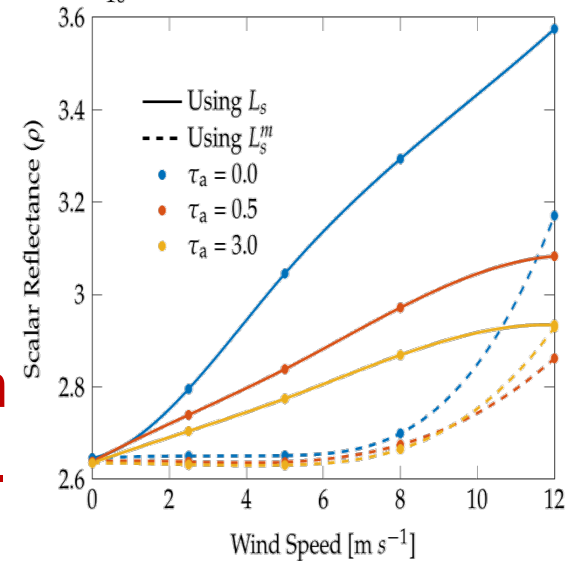
Dependence of ρ on Geometry, Wind speed, AOT, FOV

$$\theta_{\downarrow view} = 40^\circ, \phi_{\downarrow view} = 135^\circ$$



Highest dependence on viewing angle

Large dependence on wind speed and AOT.



Field-of-view effect

Black circle is the measured $L_{\downarrow s}$ ($L_{\downarrow s} \uparrow m$)

Orange directions contribute to total water measurement. (actual $L_{\downarrow s}$)

Foster and Gilerson, AO, 2016

Extra slides

Although this may seem a paradox, all exact science is dominated by the idea of approximation. When a man tells you that he knows the exact truth about anything, you are safe in inferring that he is an inexact man. **Every careful measurement in science is always given with the probable error** ... every observer admits that he is likely wrong, and knows about how much wrong he is likely to be.

— Bertrand Russell

In *The Scientific Outlook* (1931), 42.

(For “man/he” read “scientist/s/he”. For “error” read “uncertainty”)

No person will deny that the highest degree of attainable accuracy is an object to be desired, and **it is generally found that the last advances towards precision require a greater devotion of time, labour, and expense, than those which precede them.**

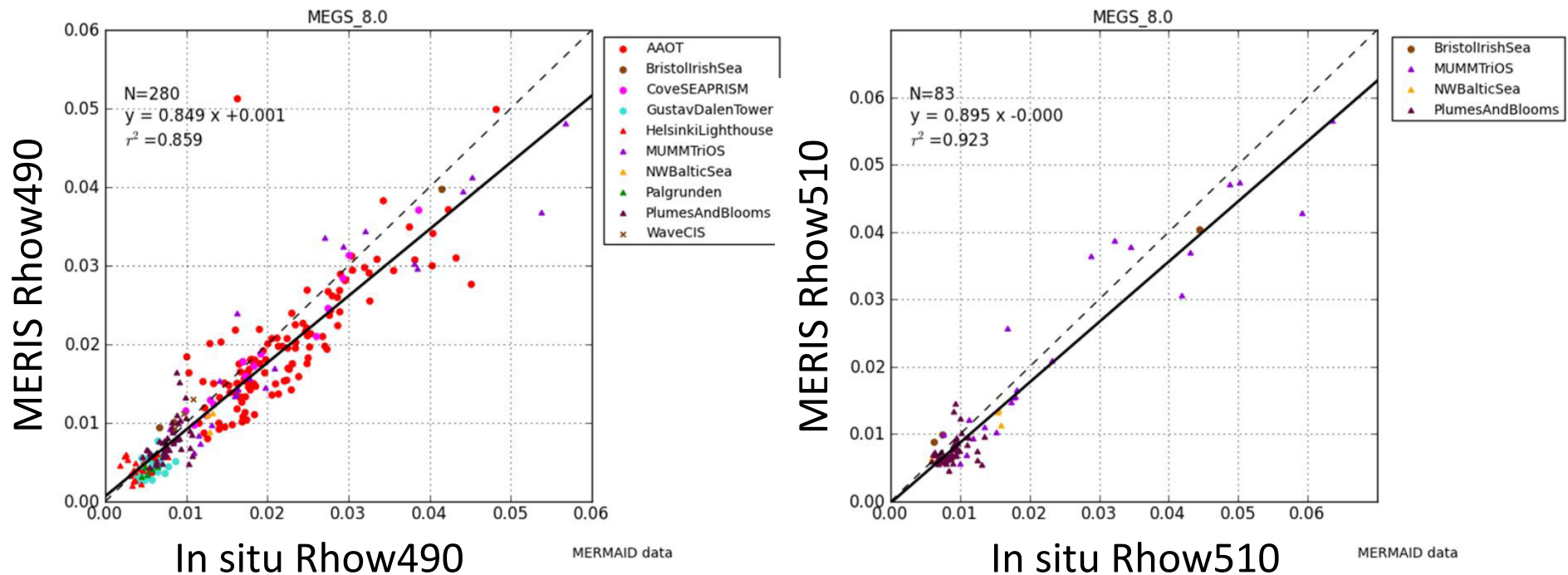
— Charles Babbage

Reflections on the Decline of Science in England (1830), 167.

A measurement result is complete only when it is accompanied by a statement of the associated uncertainty [Wikipedia, Measurement Uncertainty, 2017]

Motivation for uncertainty estimates - satellite validation

10 years of MERIS validation data, including a few years of AERONET-OC...



- 10 teams involved, diverse protocols and instruments
- BUT what is uncertainty of each measurement? Can we use them all?

[MERIS 3rd reprocessing data validation report, ACRI, 2012]

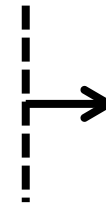
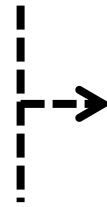
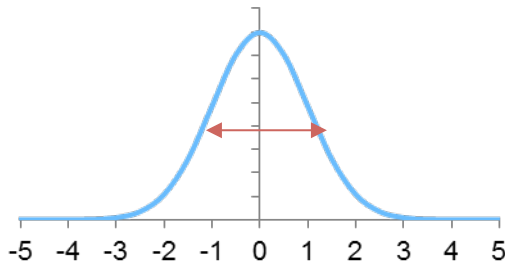
Data courtesy of PIs (D. McKee, K. Ruddick, D. Siegel, S. Kratzer) and AERONET-OC PIs (G. Zibordi, G. Schuster, S. Kratzer, B. Gibson), matchup using MERMAID

Uncertainty – Error – Correction

Uncertainty

Error

Correction



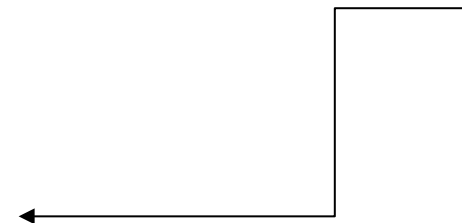
Describes the spread

Difference to the (unknowable) true value

Known offset from true value

Drawn from a probability distribution described by uncertainty

Residual, uncorrectable, unknown error



Traceability

*“Property of a measurement result relating the result to a stated **metrological reference** (free definition and not necessarily SI) through an **unbroken chain** of calibrations of a measuring system or comparisons, each contributing to the stated measurement uncertainty”*

Committee on Earth Observation Satellites (CEOS)

Suggestion for Discussion

- NASA 2003 protocols have been very valuable in explaining and prescribing how measurements should be made

BUT

- The most important aspect is that each measurement should be accompanied by an **uncertainty estimate** ... which has been **validated**

=> This should be added